



The damaging mechanism and performance design of the off-wall tunnel under the dynamic and static combined cycling loading model

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ABSTRACT

The underground off-wall tunnels find wide application in the civil underground projects and the national defense projects due to its merits of small backfilling workload, quick construction progress, good drainage and being damp-proof and easy to be repaired and maintained. Based on the dynamic and static combined cycling loading method and the design strategy of the structure performance, this paper adopts the combination method of similarity physical model experiment and the numerical analog calculation to conduct dynamic damaging mechanism and performance standard design research of underground off-wall tunnel. The research results show that the dynamic and static combined cycling loading method can better reflect the generation and expansion progress of the inner microcracks of rocks and concrete materials, thus it is an effective method to research into the damaging rules and stability of the underground off-wall tunnel; the plasticity displacement, strain and pressure stress changes of the monitoring point can better describe the damaging status of the underground projects; the increase of load magnitude can obviously result in the displacement of the monitoring point (vault), which may cause the first transcending damage of the tunnel, and the cycling loading will show accumulated damage with the load magnitude positively related to the degree of accumulated damage; the strain of the monitoring point will undergo sudden changes when reaching the ultimate pressure value, while the crack width parallel to the tunnel diameter is the reference to the damaging status of the underground tunnel; the experimental and numerical simulated results coincide with each other, and can well represent the performance standard of the underground tunnel, thus providing the reference for the earthquake and explosion effect, as well as the protection a design of the underground tunnel.

Keywords: underground off-wall tunnel; dynamic and static combined; cycling loading; damaging mechanism; performance design

INTRODUCTION

The underground tunnel often adopts off-wall superposition as its basic form. It is an arch superposition form, which conducts compact backfilling of the overbreak part between the superposition and the surrounding rocks, and makes the two cling to each other closely and influence each other to jointly undertake the gravity stress and external load. Due to the merits of small backfilling workload, quick construction progress, good drainage and being damp-proof and easy to be repaired and maintained, the model is widely used in the civil underground projects and the national defense projects. However, the stress strain's representation is complex. Coupled with the load it subject to during the life cycle, such as the earthquake effect, explosion and rise and fall of the underground water, it is also unpredictable.

Currently, the foreign and domestic researchers mainly focus on two aspects regarding the stability of the underground projects under the influence of external load, such as explosion, earthquake effect and the rise and fall of the underground water: one is to gain the general damaging rules of the underground projects' macro structure through lots of practical experiments and model experiments, such as to gain a quantified index which can reflect the stability and security coefficient of the tunnel's surrounding rocks through strength reduction method^[1-4], and to gain the general

reliability of the tunnel's surrounding rocks through probability statistical method; the other is to describe the internal factors causing the generation of the structure in the theoretical perspective, such as the structure model in this paper and recreate the damaging rules of the whole structure through the research of dynamic properties and stress strain system of rock concrete materials under different historical conditions and combining the numerical simulation software^[5-7].

In fact, the damage of the underground project structure is caused by the internal and external reasons. The dynamic properties of the rock concrete materials under different historical conditions are the base to research into the project structure damage; while the defects influencing the strength of the rock concrete materials, including the cleavage and microcrack in the whole underground project and within the surrounding rocks, are also factors worth consideration. In order to reflect the performance standard of its damaging status, this paper bases on the dynamic and static combined cycling loading method and the structure performance design idea, and combines the similarity physical model experiment and the numerical calculation to analyze the corresponding relationship between the structure and the deforming status, damaging rules and stability conditions and performance level of the off-wall tunnel.

EXPERIMENTAL SECTION

2.1. Devices for the model experiment

The experiment instrument model is made up of the cuneiform side slope experiment part and the rectangular (2.0 m*0.7 m*3.0 m) tunnel experiment part. This experiment conducts loading, unloading and load holding of the model box through the microprocessor control electro-hydraulic servo tester's measurement and control system to automatic control the oil jack. At the same time, it can achieve real-time data collection, and save and update the data in the text format, which is usually of the TXT or EXCEL format.



Fig. 1 the microprocessor control electro-hydraulic servo tester's measurement and control system



Fig. 2 The side slope tunnel coupling experiment system

2.2. Similarity relation design

While making the similarity relation model for experiment, it should be in line with three theorems of similarity simulation, and the monodrome condition. Considering the properties of underground off-wall tunnel rock media, the model experiment should meet various indexes described in the following functions:

$$f(\gamma, c, \varphi, E, \tau, L, \mu, u_x, u_z, \sigma_x, \sigma_z, \varepsilon_x, \varepsilon_y) = 0 \quad (1)$$

in which gravity γ , frictional angel φ and Poisson's ratio μ are all property parameters of the materials. Since all of them belong to zero dimensions, therefore,

$$C_\gamma = C_\varphi = C_\mu = 1 \quad (2)$$

At the same time, in order to ensure the similarity of various strength indexes of the materials and the underground off-wall tunnel's surrounding rocks, it should meet the following criteria and requirements:

$$C_\varepsilon = C_u / C_L = 1 \quad (3)$$

$$C_\sigma = C_\tau = C_c = C_\gamma C_L = C_E C_\varepsilon = C_E \quad (4)$$

According to the similarity theory, the similarity model's monodrome conditions of the underground off-wall tunnel resemble each other, and the similarity criteria (decision criteria) made up of the physical quantity of the monodrome conditions equal to each other in terms of value. However, due to the influencing factors of material making, experiment devices and techniques in the process of the experiment, it is quite difficult to make the model totally

resemble the prototype. Only one or several indexes can be selected to target at solving the major problems so as to achieve the experiment goals. After analysis, the geometric conditions, critical conditions and the initial conditions of the similarity physical model are easy to obtain. Therefore, all these conditions are regarded as the basic control quantity, and set the similarity constant C_σ at 20. At the same time, the physical conditions are defined according to the parameter values in the process of mapping. It is hard to be obtained, whose similarity relation expression and similarity ratio can be indirectly gained only through the above stated similarity ratio function.

2.3. Design and making of the similarity model design

The experiment adopts steel experiment model box, whose two sides and bottom boards are both made up of 5cm steel boards, and are connected through angle iron and box iron. The underground off-wall superposition tunnel is mainly composed of painted layer of concrete, (outer lining), lining (inner arch) and the anchor-late retaining surrounding rocks' reinforced area. The specific measurements of the whole model are shown in Fig. 3:

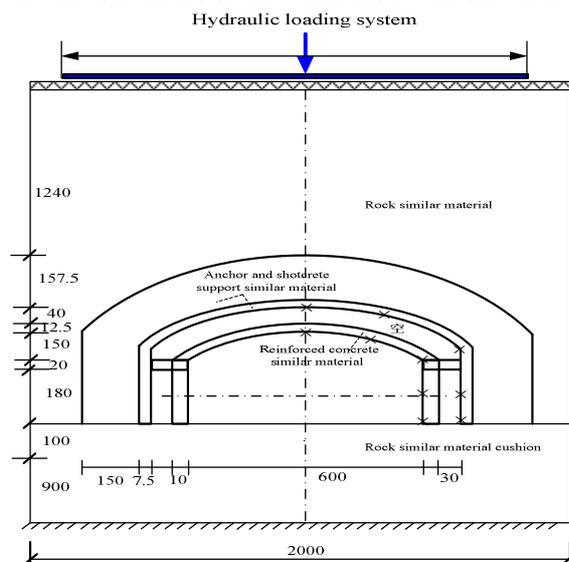


Fig. 3 Measurement chart of the off-wall tunnel's similarity model
(Unit: mm; similarity ratio: 1:20)

The two sides of the model are distributed with 70cm circular organic glass windows (2mm thickness), which are convenient for the researcher to observe the damaging and injury phenomena of the tunnel under the influence of the load. At the same time, the four internal side walls of the model box are all painted with Vaseline so as to fundamentally eradicate the frictional limit of the side wall. Besides, in the loading process, the loading system's bottom boards keep in full contact with the model's top to prevent the generation of bias voltage, which can influence the experiment result. The practical working conditions are: the gross tunnel is 13.9m wide, and 8.4m high (the clear height is 7m); the outer layer of the surrounding rocks are reinforced through the anchoring-plate retaining; after the reinforcement, the strength parameters of the surrounding rocks have been improved by 5%. According to the similarity theory and the strength parameters of various materials, the strength parameters of the materials required to be made are shown in Table 1:

Table 1 Physico-mechanical parameters of model

Composition	Type	Density (Kg/m ³)	Modulus (GPa)	Poisson ratio	Cohesion (MPa)	Friction(°)
Surrounding rock	prototype	2300	6	0.3	0.7	39.00
	Model	2300	0.3	0.3	0.035	39.00
	Similarity ratio	1:1	20:1	1:1	20:1	1:1
Reinforced area	prototype	2300	6.300	0.3	0.7350	40.37
	Model	2300	0.315	0.3	0.03675	40.37
	Similarity ratio	1:1	20:1	1:1	20:1	1:1
Lining	prototype	2400	23	0.167	1.5	52.00
	Model	2400	1.15	0.167	0.075	52.00
	Similarity ratio	1:1	20:1	1:1	20:1	1:1

The materials selected for the similarity model should meet the properties of high unit weight, low strength and low elastic modulus. After the model material experiment with different kinds of matching and various kinds of raw materials^[8-11], it is found that the aggregate of the similar materials should include blanc fixe, silica sand and fine sand; and the cementing agent should include ethyl alcohol, vaseline, rosin, engine oil and cement. This paper finally finds

out that the matching ratio of 6.5:4:0.5:1:1 can reach the requirement of various parameters of the three types of surrounding rocks.

2.4. Experiment plan design

There are two observation windows in the whole model box, which cling to the cross section of the underground tunnel model respectively. In this way, it is easy to install the sensor and observe the damaging status. Before the experiment, three corresponding 3D numerical models are established for trial calculation. The sensor's position is rationally arranged according to the simulation result, which is shown in Fig. 4:

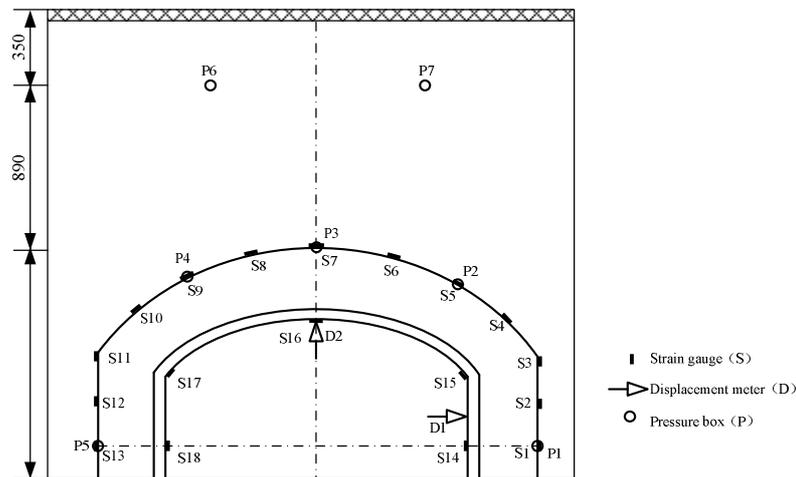


Fig. 4 The arrangement of the monitoring point of the similarity simulation box of the underground tunnel

2.5. The graded pressure loading of the model experiment

Different load influences have different response models and damaging rules for the underground projects. Therefore, the model experiment loading method is a systematic and complex issue. Through the microprocessor control electro-hydraulic servo measurement and control system, the time history curve of the graded pressures with the time. The loading process is processed according to the sequence of 1→2→4→7→10→15→20KN until it is broken. Each level of loading is cycled for five times. The low-frequency loading method can regard the loading path in the front as the foreshock, and the response under the current stress strain as the peak stress spectrum effect. In this way, the tunnel injury and damaging rules under different peak value pressures and different pressure of surrounding rocks can be simulated. At the same time, the accumulated injury effect under the dynamic and static cycling loading model can be gained. Considering the need of the follow-up loading, the pressure is transformed into the curve in which the stress strain changes with time (shown in Fig. 5, and the measurement of the loading board is 2m*0.7m):

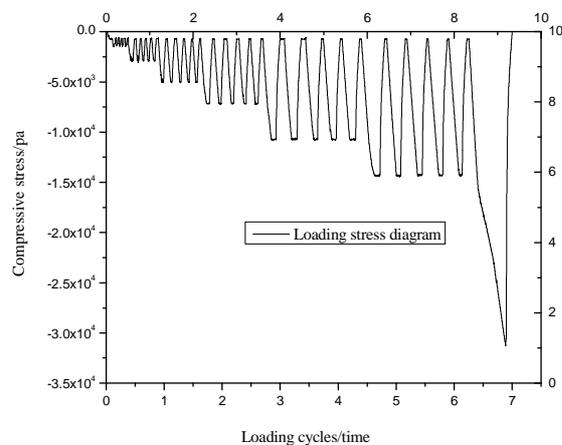


Fig. 5 The curve chart of the graded loading stress strain changing with time

RESULTS AND DISCUSSION

3.1. Analysis of the pressure change results of the surrounding rocks

During the process of graded loading, the pressure of the surrounding rocks undergoes no significant changes. The curve of the pressure of the surrounding rocks of the seven monitoring points is almost not involved with each other, which is shown in Fig. 6. At the same time, it can be seen that the pressure of the surrounding rocks, when under the cycling loading model of the same level, shows little accumulated effect, which is almost related to the loading pressure (amplitude).

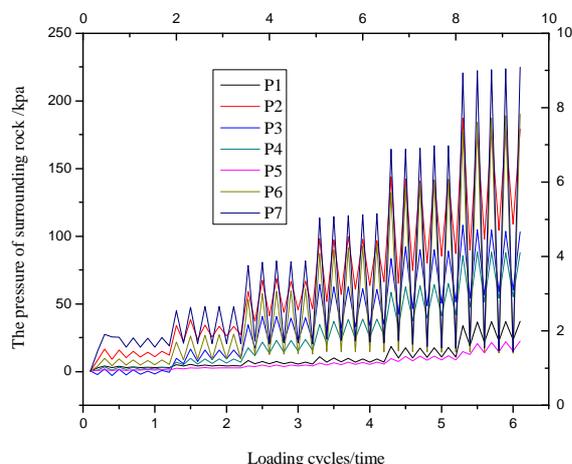


Fig. 6 The curve chart of the pressure (kPa) of the surrounding rocks changing with time

3.2. Analysis of the strain change results

The strain monitoring points are mainly distributed in the sprayed concrete inner wall and the external side of the reinforced area of the surrounding rocks. According to the loading results, it is shown that the initial image change of the strain occurs in the third level loading, which is 10kN. The change is the most significant especially when the external side of the reinforced area of the surrounding rocks is close to the position of the vault (S6) and the sprayed concrete vault (S16). This is the crack initiation period. In the fourth level loading, which is 15kN (damage occurs in the third loading of the level), the strain gage (S16) of the sprayed concrete vault is snapped, exceeding the range limit, and the stress strain (S7) in the reinforced area of the surrounding rocks also undergoes sudden changes. This is called the crack expansion period. In the fifth level of loading, which is 20kN, there appear the perfoliate cracks, and the stress strain (S7) in the reinforced area of the surrounding rocks continues to increase until it is damaged. This can be regarded as the damaging period.

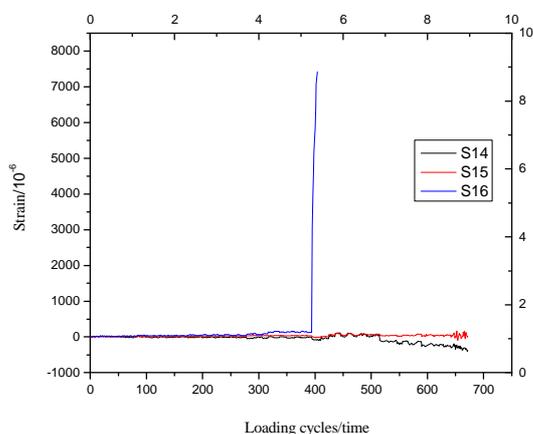


Fig. 7 The strain change curve chart of the sprayed concrete inner wall

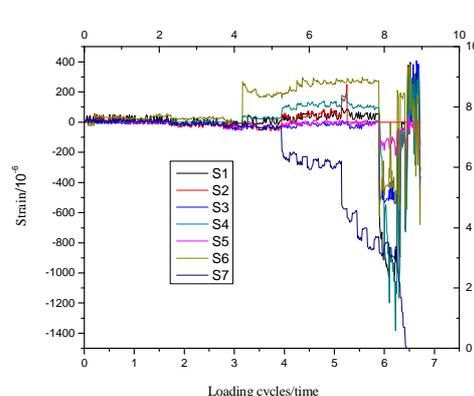


Fig. 8 The strain change curve chart of the reinforced area of the surrounding rocks

3.3. Analysis of the displacement change results

The test curve of the displacement monitoring point further verifies the monitoring result of the strain. The crack initiation period, crack period, expansion period and damaging period appear in the three levels of loading processes, 10kN, 15kN and 20kN respectively.

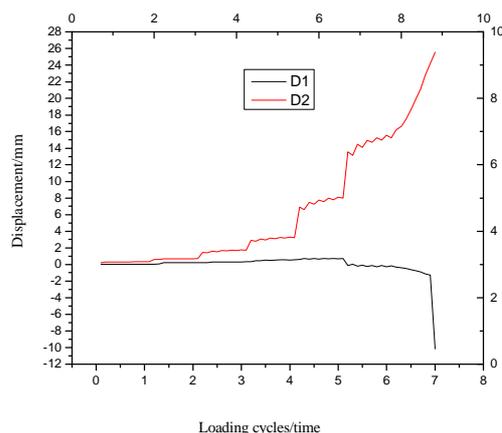


Fig. 9 Curve chart of the sprayed concrete inner wall displacement changes

3.4. Comparison and analysis of the numerical simulation

The numerical simulation calculation model is built in strict accordance with the underground tunnel measurements of the model experiment. The mesh generation is shown in Fig. 10. The lining and the reinforced area of the surrounding rocks are made up of the square meshes, and the triangle ones. At the same time, considering the prospective large changes occurring under the strain and deforming status near the lining, the mesh generation in this area is quite intensive. During the process of calculation, the materials of the surrounding rocks and the lining are regarded as the elastic materials, Mohr-Coulomb strength criterion is adopted and the partial damping coefficient is 0.157. In order to gain a higher degree of compatibility of the result of the numerical simulation and the model experiment, the loading curve of the model and the loading curve of the model experiment are in line with each other, and the displacement, strain and the strain marking method of the monitoring points remain consistent with each other.

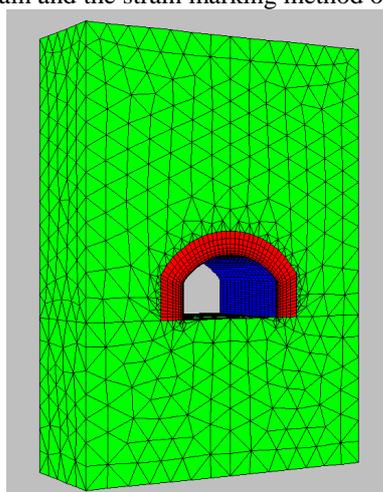


Fig. 10 Numerical simulation mesh

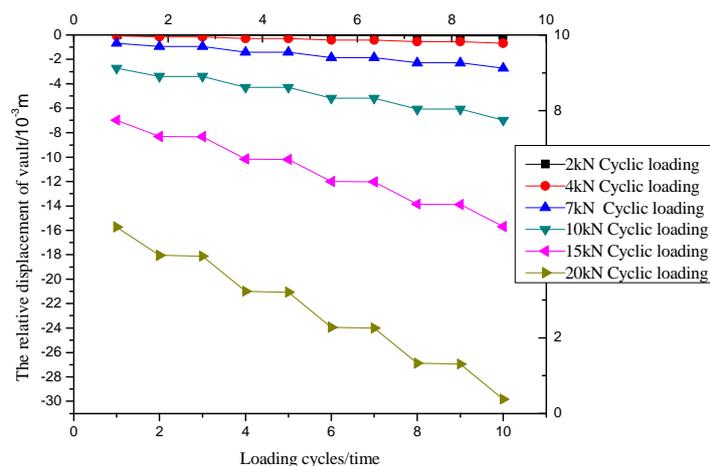


Fig. 11 The relative displacement of various monitoring points gained after the numerical calculation

The fish language embedded in FLAC3D is employed to impose the graded loading curve on the top of the model, and the strain and deforming status of various monitoring points are observed on a real-time basis. From Fig. 11, it can be seen that the relative displacement of the vault and the result gained by the similarity model experiment are in line with each other, and both shows significant changes for the first time when under the loading model of 15kN. At the same time, the accumulated damage would gradually increase with the rise of the load.

The numerical simulation result shows that the error of the displacement curve and the similarity model experiment after 15kN is comparatively large, which suggests that deformation occurs in the tunnel's vault and some part even shows damage in the loading process of above 15kN. Obviously, the simulation of FALC3D software based on the continuum theory cannot comparatively genuinely reflect the damaging status of the tunnel in the process.

3.5. Performance quantitative analysis

The earthquake resistant design is not only to ensure the life security within the tunnel, but also to control the damaging degree of the tunnel, making the economic losses controlled within the acceptable scope. According to the result of the

experiment, the tunnel's performance stand under the function of the dynamic and static combined cycling loading can be gained, which is shown in Fig. 12 and Table 2:

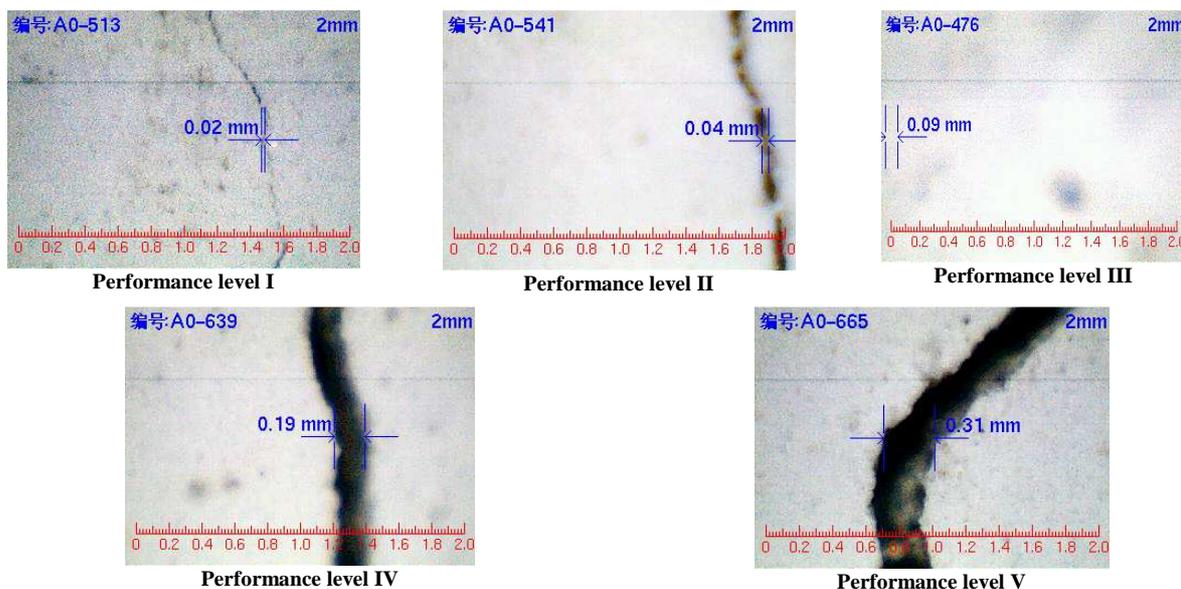


Fig. 12 The performance standard classification of the crack width and the damaging status of the tunnel's vault

Table 2 The comparison of the tunnel's performance standard and the model's experiment results

Categ-ory	General description	Repair measures	Pressure (KN)	Stress (Kpa/m ²)	Strain	Vault displacement
I	Good performance	Normal use	1→2→4	-0.06→-0.10→-0.32	10 ⁻⁵ magnitude	Within 1.8mm
II	Slight damage	Temporary use	4→7→10	-0.32→-0.50→-0.72	10 ⁻⁵ magnitude	Within 3.3mm
III	Heavier damage	After the repair service	10→15	-0.72→-1.11	Arch wall strain fracture, more than 10 ⁻³ magnitude.	Within 8.1mm
IV	Serious damage	Life safety	15→20	-1.11→-1.45	Vault and arch strain than 10 ⁻³ magnitude, over strain range	Up to 15.5mm
V	Close to collapse	Collapse prevention	20→Destruction	-1.45→-3.16	Out of gauge	More than 25.5mm

CONCLUSION

(1) The experiment phenomena show that the dynamic and static combined cycling loading method can well reflect the generation and expansion process of the microcrack in the rocks and the concrete materials on a macro basis. This is an effective method to research into the off-wall underground tunnel. Under the condition when there are major deformation and accumulated injury statuses occurring in the tunnel, the method can comparatively genuinely reflect the damaging status of the underground tunnel.

(2) The plasticity displacement, strain and stree strain changes of the underground tunnel in different monitoring points under different load conditions can well describe the damaging rules of the underground projects. The increase of load magnitude can obviously result in the displacement of the monitoring points (vault), which may trigger the first transcending damage of the tunnel and the accumulated damage of the cycling loading. The magnitude of the load is positively related to the accumulated damage degree. The strain of the vault undergoes sudden changes in the third cycling when the load is 15KN. This suggests that the underground tunnel has undergone serious damage. The following loading process and results show that the displacement and the crack width of the vault keep increasing, but are within the controllable range.

(3) In order to better control and describe the damaging rules, this paper conducts quantitative analysis of the performance index of the underground tunnel and can well match with the result of the model experiment.

(4) The model experiment and the numerical simulation results show that the two coincide with each other quite well, and can well represent the performance standard of the underground tunnel, thus providing the reference for the prevention and design against and of the earthquake effect and the explosion.

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