



## Spectroscopic properties of lanthanide and actinide triflates: Insight TDDFT

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### ABSTRACT

Time-Dependent Density Functional Theory (TD-DFT) has become the most widely-used theoretical approach to simulate the optical properties. The TDDFT calculations of the lowest excited states of the lanthanide and actinide triflates  $M(OTf)_n$ ; where ( $M= Ce, Th, Np, Pu, Am, Cm$  and  $Bk$ ); ( $n=3$  and  $4$ ), provide an accurate description of their UV-visible spectra. The UV region is characterized by the most pointed and reduce peak of the  $Th(OTf)_4$  compound that assigned to ligand metal charge transfer (LMCT) transition. The absorption specter of  $Np(OTf)_3$  and  $Pu(OTf)_3$  is mixed of LMCT, MLCT and intra charge transfer (ICT) transitions. The HOMO-LUMO transition of  $Pu(OTf)_3$  are strongly bathochromically shifted compared with those of  $Np(OTf)_3$  and  $Th(OTf)_4$ . These three complexes are capable to give a luminescence in the emission specter. The  $M(OTf)_n$  where ( $M= Ce, Pu, Am, Cm$  and  $Bk$ ); ( $n=3$  and  $4$ ) are dominated in visible, ultra violet and infra read region by LMCT transition of the  $Ce(OTf)_4$  and ICT of  $Pu(OTf)_4$ ,  $Am(OTf)_3$ ,  $Cm(OTf)_3$  and  $Bk(OTf)_3$ .

**Key words:** TDDFT, Triflate, Lanthanide, Actinide, LMCT, MLCT, ICT

### INTRODUCTION

The extension of Density functional theory (DFT) to the time dependent domain, namely time-dependent density functional theory (TDDFT) has been originally proposed by Runge and Gross 30 years ago.[1] Recently, the research of electroluminescent materials has been the subject of interest, and some researchers have extended their attention to heavy metal-coordinate complexes, even rare-earth complexes [2, 3]. Indeed, for such systems, the importance of excited-state-spin-contamination has been recently analyzed [4]. The TDDFT approach has been demonstrated to be reliable for calculating spectra properties of many transition metal complexes [5]. In this section we will focus on the spectroscopic properties of the lanthanide and actinide triflates complexes  $M(OTf)_n$ ; where ( $M= Ce, Th, Np, Pu, Am, Cm$  and  $Bk$ ); ( $n=3$  and  $4$ ), those used as Lewis acid catalysts in a variety of organic reactions, as well as precursors in inorganic and organometallic synthesis and they are also used in the nuclear industry [6].

### EXPERIMENTAL SECTION

In this paper we carry out a quantum calculation on the lanthanide and actinide triflates  $M(OTf)_n$ ; where ( $M= Ce, Th, Np, Pu, Am, Cm$  and  $Bk$ ); ( $n=3$  and  $4$ ); that coordinate with the OTf ligand as bidentate. The geometrical structures of the singlet ground state and the lowest lying triplet excited state are optimized by the DFT [7, 8] method with time-dependent functional theory approach TDDFT [9-12]/SAOP[13] calculations. All calculations are performed using the program ADF (Amsterdam Density Functional) developed by Baerends et al.[14] and the geometrical structures are fully optimized in gas state without any symmetry constraints.

### RESULTS AND DISCUSSION

For all the triflate complexes  $M(OTf)_n$ ; where ( $M= Ce, Th, Np, Pu, Am, Cm$  and  $Bk$ ); ( $n=3$  and  $4$ ), we have distinguished three categories appropriate to their range of wavelength.

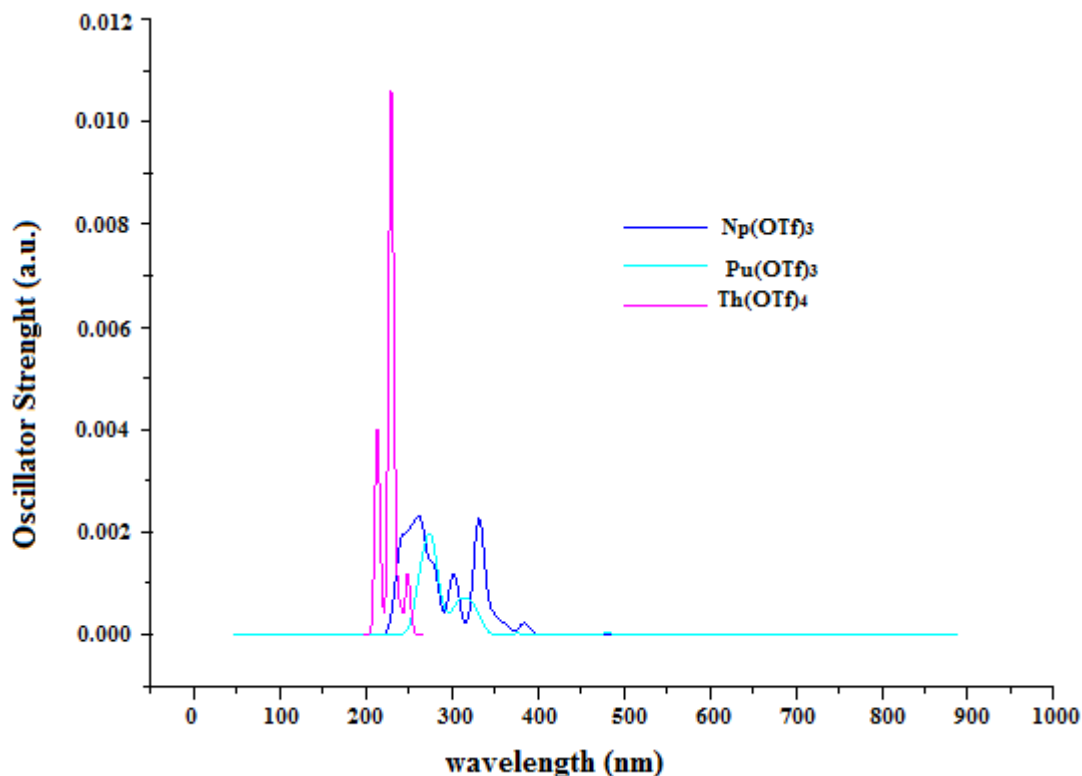
### 3.1. The ultraviolet (UV) region:

This region is dominated by three triflate complexes such as the thorium, neptunium and plutonium triflates, with the general formula  $\text{Th}(\text{OTf})_4$ ,  $\text{Np}(\text{OTf})_3$  and  $\text{Pu}(\text{OTf})_3$  respectively.

The excitation energies  $E(\text{eV})$ , the wavelength  $\lambda$  (nm) and the greatest values of the oscillator strengths  $f$  are summarized in Tables 1. The tables also include the composition (%) of the excited states and the character of the transitions.

**Table 1: The absorptions of the  $\text{Th}(\text{OTf})_4$ ,  $\text{Np}(\text{OTf})_3$  and  $\text{Pu}(\text{OTf})_3$  compounds according to TDDFT Calculations**

| Complex                   | $\lambda$ (nm) | $E(\text{eV})$ | $f$                     | %   | Transition                   | Character |
|---------------------------|----------------|----------------|-------------------------|-----|------------------------------|-----------|
| $\text{Pu}(\text{OTf})_3$ | 920.4958       | 1.3471         | $0.5376 \times 10^{-5}$ | 83  | HOMO $\rightarrow$ LUMO      | ICT       |
|                           | 332.1369       | 3.7334         | $0.3064 \times 10^{-2}$ | 98  | HOMO-4 $\rightarrow$ LUMO    | LMCT      |
|                           | 279.1786       | 4.4416         | $0.1228 \times 10^{-1}$ | 70  | HOMO-2 $\rightarrow$ LUMO+3  | ICT       |
|                           | 273.3083       | 4.5370         | $0.6299 \times 10^{-2}$ | 90  | HOMO-10 $\rightarrow$ LUMO   | LMCT      |
|                           | 271.210        | 4.5721         | $0.1225 \times 10^{-2}$ | 85  | HOMO-3 $\rightarrow$ LUMO+12 | MLCT      |
| $\text{Th}(\text{OTf})_4$ | 266.0373       | 4.6610         | $0.2986 \times 10^{-2}$ | 95  | HOMO-10 $\rightarrow$ LUMO+1 | LMCT      |
|                           | 252.8187       | 4.9047         | $0.3422 \times 10^{-6}$ | 100 | HOMO $\rightarrow$ LUMO      | LMCT      |
|                           | 231.416        | 5.3583         | $0.1099 \times 10^{-1}$ | 78  | HOMO-4 $\rightarrow$ LUMO+1  | LMCT      |
|                           | 228.811        | 5.4193         | $0.1726 \times 10^{-1}$ | 67  | HOMO $\rightarrow$ LUMO+5    | LMCT      |
|                           | 226.7532       | 5.4685         | $0.3799 \times 10^{-2}$ | 95  | HOMO-6 $\rightarrow$ LUMO+3  | LMCT      |
| $\text{Np}(\text{OTf})_3$ | 214.1438       | 5.7905         | $0.5787 \times 10^{-2}$ | 97  | HOMO-3 $\rightarrow$ LUMO+5  | LMCT      |
|                           | 211.7739       | 5.8553         | $0.2093 \times 10^{-2}$ | 98  | HOMO-6 $\rightarrow$ LUMO+6  | LMCT      |
|                           | 624.811        | 1.9846         | $0.4607 \times 10^{-6}$ | 4   | HOMO $\rightarrow$ LUMO      | ICT       |
|                           | 332.4664       | 3.7297         | $0.1779 \times 10^{-1}$ | 61  | HOMO-2 $\rightarrow$ LUMO+11 | ICT       |
|                           | 330.1911       | 3.7554         | $0.1675 \times 10^{-1}$ | 68  | HOMO-2 $\rightarrow$ LUMO+12 | MLCT      |
| $\text{Pu}(\text{OTf})_3$ | 265.0648       | 4.6781         | $0.1099 \times 10^{-1}$ | 67  | HOMO $\rightarrow$ LUMO+11   | ICT       |
|                           | 246.766        | 5.0250         | $0.8501 \times 10^{-2}$ | 99  | HOMO-3 $\rightarrow$ LUMO+1  | LMCT      |
|                           | 237.789        | 5.2145         | $0.3903 \times 10^{-2}$ | 31  | HOMO-5 $\rightarrow$ LUMO    | LMCT      |



**Figure 1: Theoretical UV absorption spectra of  $\text{Np}(\text{OTf})_3$ ,  $\text{Pu}(\text{OTf})_3$  and  $\text{Th}(\text{OTf})_4$  complexes**

The absorption spectra reported in Figure 1 are dominated in the UV regions by absorption features which are given in Table 1. The spectrum of  $\text{Th}(\text{OTf})_4$  is reduced compared with those of  $\text{Np}(\text{OTf})_3$  and  $\text{Pu}(\text{OTf})_3$  (see Figure 1), and it has the most pointed peak that is assigned to LMCT transition (ligand to metal charge transfer). A blend of transition character is observed in the case of  $\text{Np}(\text{OTf})_3$  and  $\text{Pu}(\text{OTf})_3$ : MLCT, ICT and LMCT [15- 18].

The main difference in the absorption spectra of these three compounds is that HOMO-LUMO transition of  $\text{Pu}(\text{OTf})_3$  are strongly bathochromically shifted compared with those of  $\text{Np}(\text{OTf})_3$  and  $\text{Th}(\text{OTf})_4$ . These three complexes are capable to give a luminescence in the emission (see Table 1).

The frontier orbitals relevant to discussion are available as supporting information. In both of the  $\text{Pu}(\text{OTf})_3$  and the  $\text{Np}(\text{OTf})_3$  complexes, the HOMO's and LUMO's are nearly completely localized between the metal and the ligand OTf, while in the case of the  $\text{Th}(\text{OTf})_4$  complex, the HOMO's and LUMO's are localized on the OTf ligand and the thorium metal respectively. The important transitions of these three complexes are shown in Figure 2.

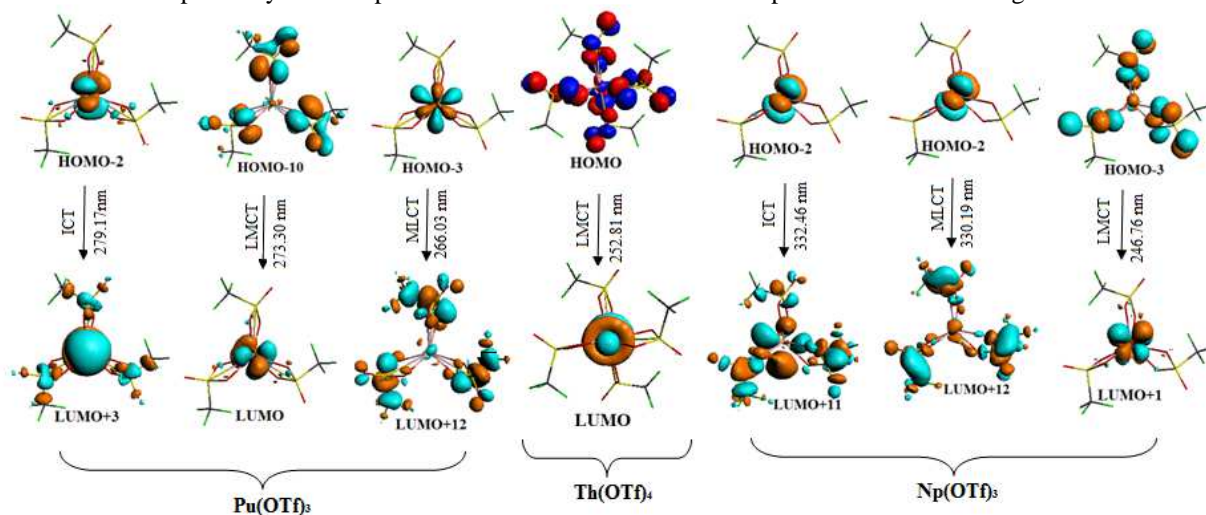


Figure2: The excitation transitions for the  $\text{Pu}(\text{OTf})_3$ ,  $\text{Th}(\text{OTf})_4$  and  $\text{Np}(\text{OTf})_3$  calculated by TD-DFT in gas phase

### 3.2. The visible region:

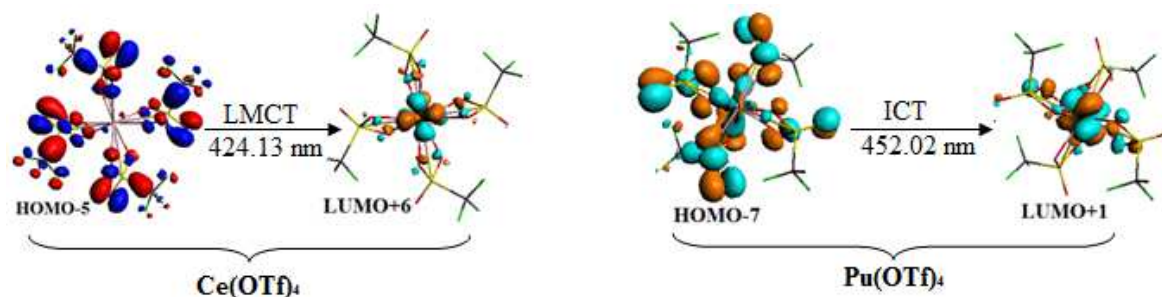
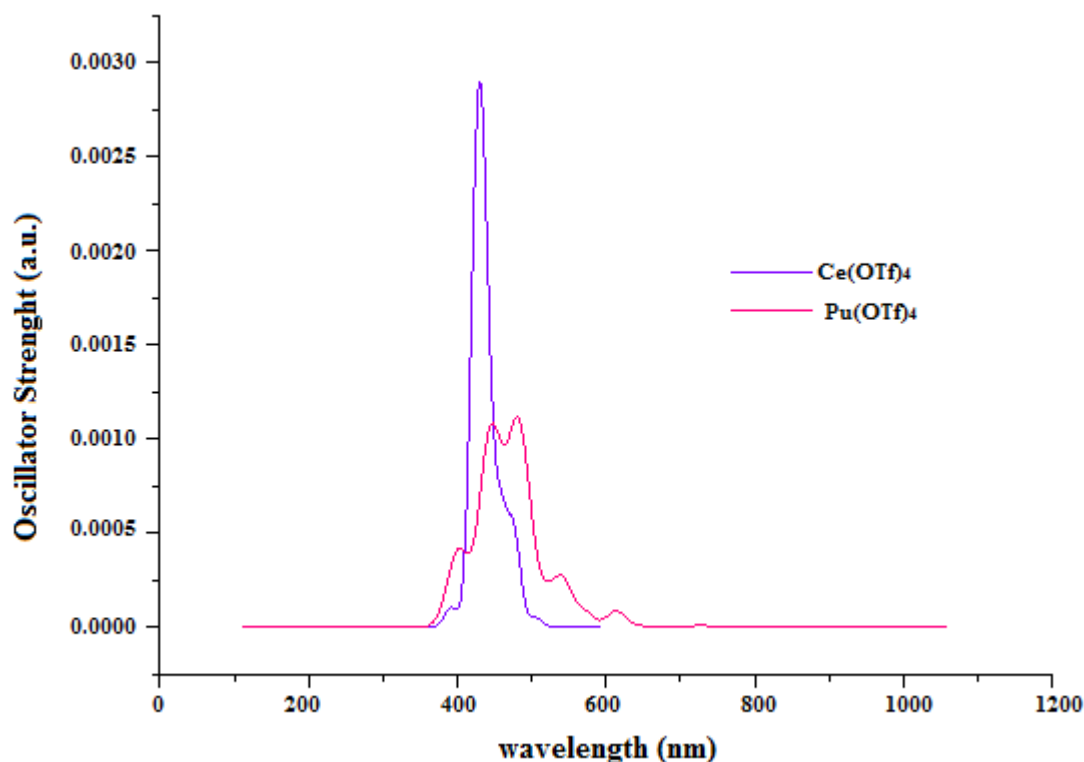
In the visible domain, there are two dominated complexes the cerium triflate  $\text{Ce}(\text{OTf})_4$  and the plutonium triflate  $\text{Pu}(\text{OTf})_4$ . The electronic absorption spectra of these complexes are shown in Table 2.

For the  $\text{Pu}(\text{OTf})_4$ , a relatively broad band centered in all complexes at 615 nm, 517 nm, 480 nm, 452 nm and 437 nm. The visible region of  $\text{Ce}(\text{OTf})_4$  is characterized by a rather intense band between 493 nm and 424 nm (see Figure 4).

On the basis of the calculated excitation energies and oscillator strengths, the  $\text{Ce}(\text{OTf})_4$  complex is the best candidate with a quite intense feature peaking comparing to the  $\text{Pu}(\text{OTf})_4$  at about 452 nm (see Table 2 and Figure 4), this feature has a clear LMCT character (see Figure 3).

Table 2: The absorptions of the  $\text{Ce}(\text{OTf})_4$  and  $\text{Pu}(\text{OTf})_4$  compounds according to TDDFT Calculations

| Complex                   | $\lambda$ (nm) | E(ev)  | f                        | %  | Transition       | Character |
|---------------------------|----------------|--------|--------------------------|----|------------------|-----------|
| $\text{Ce}(\text{OTf})_4$ | 493            | 2.5144 | 0.2236 e10 <sup>-4</sup> | 53 | HOMO → LUMO      | LMCT      |
|                           | 461            | 2.6889 | 0.1723 e10 <sup>-2</sup> | 35 | HOMO- 1 → LUMO+3 | LMCT      |
|                           | 459            | 2.7003 | 0.3686 e10 <sup>-2</sup> | 37 | HOMO- 5 → LUMO   | LMCT      |
|                           | 437            | 2.8374 | 0.1615 e10 <sup>-1</sup> | 54 | HOMO- 1 → LUMO+6 | LMCT      |
|                           | 426            | 2.9116 | 0.1959 e10 <sup>-1</sup> | 46 | HOMO- 4 → LUMO+6 | LMCT      |
|                           | 424            | 2.9236 | 0.1454 e10 <sup>-1</sup> | 58 | HOMO-5 → LUMO+6  | LMCT      |
| $\text{Pu}(\text{OTf})_4$ | 872            | 1.4220 | 0.4570 e10 <sup>-4</sup> | 52 | HOMO → LUMO      | ICT       |
|                           | 615            | 2.0163 | 0.2376 e10 <sup>-2</sup> | 49 | HOMO-2 → LUMO+1  | ICT       |
|                           | 517            | 2.3995 | 0.2392 e10 <sup>-2</sup> | 63 | HOMO-3 → LUMO+1  | ICT       |
|                           | 480            | 2.5849 | 0.4019 e10 <sup>-2</sup> | 52 | HOMO-8 → LUMO+1  | ICT       |
|                           | 452            | 2.7432 | 0.1072 e10 <sup>-1</sup> | 57 | HOMO-7 → LUMO+2  | ICT       |
|                           | 437            | 2.8374 | 0.1105 e10 <sup>-1</sup> | 20 | HOMO-3 → LUMO+3  | ICT       |

Figure3: The excitation transitions for the Pu(OTf)<sub>4</sub> and Ce(OTf)<sub>4</sub> calculated by TD-DFT in gas phaseFigure4: Theoretical UV absorption spectra of Np(OTf)<sub>3</sub>, Pu(OTf)<sub>3</sub> and Th(OTf)<sub>4</sub> complexesTable 3: The absorptions of the Am(OTf)<sub>3</sub>, Bk(OTf)<sub>3</sub> and Cm(OTf)<sub>4</sub> complexes according to TDDFT Calculations

| Complex              | $\lambda$ (nm) | E (ev) | f                        | %  | Transition       | Character |
|----------------------|----------------|--------|--------------------------|----|------------------|-----------|
| Am(OTf) <sub>3</sub> | 1056           | 1.1739 | 0.5175 e10 <sup>-3</sup> | 46 | HOMO → LUMO      | ICT       |
|                      | 483            | 2.5682 | 0.6641e10 <sup>-2</sup>  | 68 | HOMO-5 → LUMO    | ICT       |
|                      | 423            | 2.9343 | 0.2131 e10 <sup>-2</sup> | 39 | HOMO-10 → LUMO   | ICT       |
|                      | 377            | 3.2894 | 0.1169 e10 <sup>-2</sup> | 83 | HOMO-11 → LUMO   | ICT       |
|                      | 302            | 4.1065 | 0.9679 e10 <sup>-2</sup> | 86 | HOMO-12 → LUMO+1 | ICT       |
|                      | 278            | 4.4676 | 0.1639 e10 <sup>-2</sup> | 92 | HOMO-20 → LUMO   | ICT       |
| Cm(OTf) <sub>3</sub> | 824            | 1.5055 | 0.3674 e10 <sup>-2</sup> | 18 | HOMO → LUMO      | ICT       |
|                      | 518            | 2.3919 | 0.1424 e10 <sup>-1</sup> | 39 | HOMO-5 → HOMO-4  | ICT       |
|                      | 496            | 2.4987 | 0.1002 e10 <sup>-1</sup> | 26 | HOMO-9 → LUMO    | ICT       |
|                      | 396            | 3.1334 | 0.1678 e10 <sup>-1</sup> | 69 | HOMO- 16 → LUMO  | ICT       |
|                      | 279            | 4.4438 | 0.9150 e10 <sup>-2</sup> | 99 | HOMO-5 → LUMO+1  | ICT       |
|                      | 232            | 5.3492 | 0.3294 e10 <sup>-2</sup> | 62 | HOMO-6 → HOMO    | ICT       |
| Bk(OTf) <sub>3</sub> | 678            | 1.8286 | 0.1341 e10 <sup>-2</sup> | 50 | HOMO → LUMO      | ICT       |
|                      | 624            | 1.9874 | 0.1341 e10 <sup>-2</sup> | 57 | HOMO-1 → LUMO+4  | ICT       |
|                      | 581            | 2.1355 | 0.1503 e10 <sup>-1</sup> | 31 | HOMO-4 → LUMO+4  | ICT       |
|                      | 421            | 2.9452 | 0.1125 e10 <sup>-1</sup> | 80 | HOMO-12 → LUMO+4 | ICT       |
|                      | 314            | 3.9458 | 0.6701 e10 <sup>-2</sup> | 38 | HOMO-2 → LUMO+2  | ICT       |
|                      | 273            | 4.5349 | 0.2530 e10 <sup>-2</sup> | 74 | HOMO-9 → LUMO+1  | ICT       |

### 3.3. The UV-Visible- IR region

The absorption spectra reported in Figure 5 are dominated in the UV-Visible- IR region by absorption features which are given in Table 3. The main difference in the absorption spectra of the three compounds  $\text{Am}(\text{OTf})_3$ ,  $\text{Cm}(\text{OTf})_3$  and  $\text{Bk}(\text{OTf})_3$  is that all ICT transitions and they are strongly bathochromically shifted compared with those studied previously.

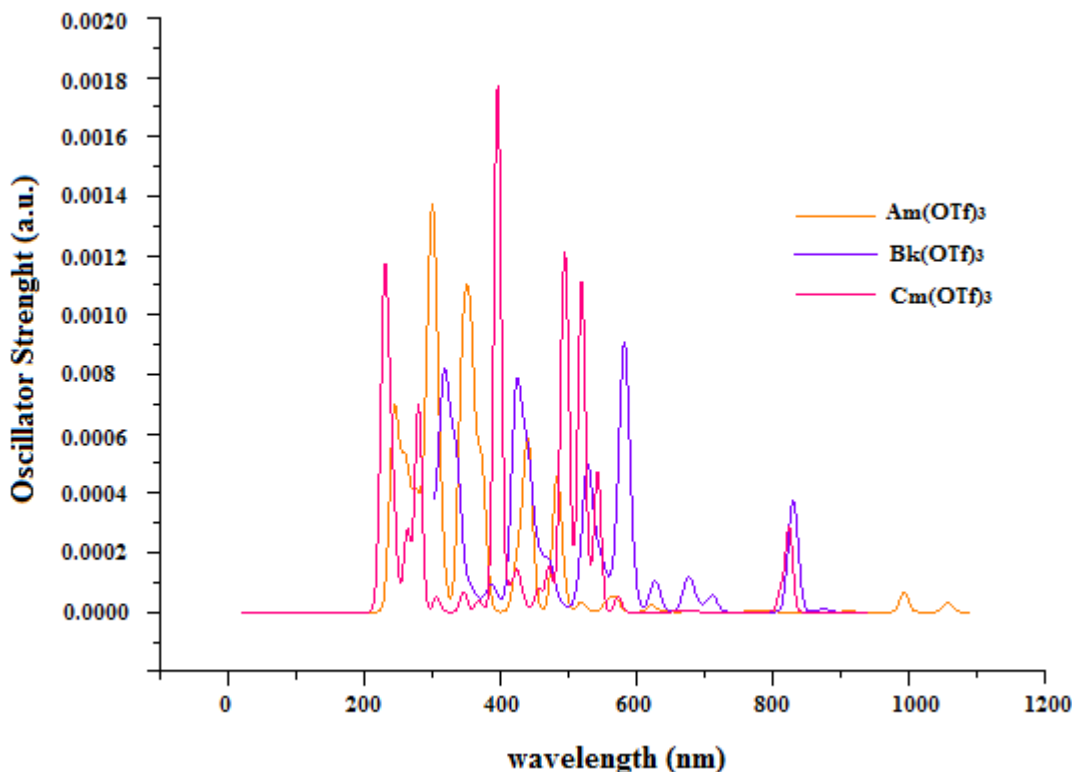


Figure5: Theoretical UV absorption spectra of  $\text{Am}(\text{OTf})_3$ ,  $\text{Bk}(\text{OTf})_3$  and  $\text{Cm}(\text{OTf})_3$  complexes

### CONCLUSION

In this paper, we have applied the TDDFT methods to investigate the absorptions and luminescence properties of the lanthanide and actinide triflates complexes  $\text{M}(\text{OTf})_n$ ; where ( $\text{M} = \text{Ce}, \text{Th}, \text{Np}, \text{Pu}, \text{Am}, \text{Cm}$  and  $\text{Bk}$ ); ( $n=3$  and  $4$ ). The calculated results reveal that the  $\text{Th}(\text{OTf})_4$ ,  $\text{Np}(\text{OTf})_3$  and  $\text{Pu}(\text{OTf})_3$  complexes are dominated in UV region, with small values of the oscillator strengths  $f$  in the HOMO – LUMO's transitions. The ligand to metal charge transfer LMCT is the predominated character in the case of the  $\text{Th}(\text{OTf})_4$  and a combination of LMCT, MLCT and ICT is observed in the case of  $\text{Np}(\text{OTf})_3$  and  $\text{Pu}(\text{OTf})_3$  complexes. The domination in UV region probably allows to these complexes to show luminescence in the emission specter. In the case of the  $\text{Pu}(\text{OTf})_4$ ,  $\text{Am}(\text{OTf})_3$ ,  $\text{Cm}(\text{OTf})_3$  and  $\text{Bk}(\text{OTf})_3$  compounds, the transitions are intramolecular charge transfer ICT. The visible region of the  $\text{Ce}(\text{OTf})_4$  is characterized by a rather intense band between 493 nm and 424 nm and LMCT transition character.

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