



Research Article

ISSN : 0975-7384
CODEN(USA) : JCPRC5

Optimization of fermentation conditions for a caproic acid-producing strain K₂ by single factor and orthogonal design methods

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ABSTRACT

In present study, the high-yielding fermentation medium for strain K₂ (Clostridium celerecrescens) producing caproic acid (CA) was screened, and the saccharides, nitrogen source, the growth curve, CA-producing curve, single-factor tests, orthogonal tests and verification test for optimizing conditions were carried out. The results demonstrated that sodium acetate culture medium was the best one among the four candidate medium tested, and the saccharides and nitrogen source for the fermentation medium were glucose and yeast extracts respectively, the relatively important factors for CA-producing, which judged from the results of single-factor test were glucose, ethanol, KCl, sodium acetate, temperature and PH value, and the further L18 (3⁶) orthogonal experiment indicated that the high-yielding CA fermentation conditions were: temperature 34 °C PH 9, 0.25g / L glucose, 10mL / L ethanol, 20g / L of sodium acetate, 2g / L KCl, the optimized caproic acid-yielding was 10.23±0.74(g / L), which was more than the output of before optimization 7.30 ± 0.359 (g / L) 40.1%.

Key words: fermentation conditions; caproic acid-producing strain, orthogonal test

INTRODUCTION

Caproic acid (CA) is a flavor component in a variety of Chinese liquor, especially in Chinese strong-flavor liquor (CSFL). More importantly, CA is the precursor of ethyl caproate, which serve as the main-aroma substance in CSFL, and is produced during the production of CSFL by ethanol reacts with CA in mud pit (a traditional vessel for CSFL making in China), to a large extent, the quality of CSFL depended on the amount of CA concentration in the zaopei during the CSFL manufactured in mud pit (Hu et al 2015; Chen 2014; Zhu X2015).

CA is the secondary metabolites of caproic acid-producing bacteria such as Clostridium Kluyveri etc, it was produced in the fermentation process of caproic acid-producing bacteria inside or outside the mud pit, The caproic acid-producing bacteria play a vital role in the process of CSFL making. Such key functional bacteria, which functions as main caproic acid-producing bacteria in the process of liquor-making, can be cultured outside pit and utilized not only to culture manmade aged pits, maintain pits, string steaming fermented grains and fill inside the fermented pit for promoting the quality of CSAL, but also to produce caproic acid, butyric acid and other acidulants, further to obtain the ethyl hexyl, acetate butyrate and other flavouring agent, which can be used to blend liquor (Wang et al. 2014; Qi et al. 2015; Hu et al. 2014).

In short, the culture of caproic acid bacteria in or outside mud pit have great significance in scientific industrial applications in improving the quality of the base liquor, blending liquor and finished liquor in CSFL.

In this study, strains K₂, which isolated from 180-year old pit and identified as Clostridium celerecrescens, was planned to be employed to optimize fermentation conditions by single-factor test and Orthogonal test. The aim of this study was tried to provide practical basis for the production of caproic acid, ethyl caproate and other liquor

flavor compounds.

EXPERIMENT SECTION

Materials

The strain K₂ (*Clostridium celerecrescens*), which was isolated, identified and stored in ultra-low temperature freezer at -80°C in author's institute.

Strain activation

A loopful of frozen bacteria was inoculated into anaerobic culture tubes containing agar slant culture. The bacteria were cultured for 3 days at 32°C until the bacteria grew significantly colonies, and stored in refrigerator at 4 °C for further use.

Seed Liquor Culture

5ml clostridium medium was drawn and added to anaerobic culture tubes mentioned above in 1.2.1, the bacterial bodies of activated bacteria were scraped down with inoculating loop and inoculated into 50ml seed medium, cultured at 32°C for 6 days, and then stored in refrigerator at 4 °C for future use.

Screening for caproic acid-producing medium

Four typical media listed following were prepared to serve as candidates for high-yielding CA fermentation of strain K₂.

A. Sodium acetate medium

Sodium acetate medium composition for 1L contained: glucose 1g, KCl 1.8g, yeast extract 2.5g, 15g sodium acetate, ethanol 10 mL, CaCO₃ 5g, added tap water to 1L, the medium was sterilized at 121°C for 15 min.

B. Pasteur's synthetic medium

Pasteur's synthetic medium composition for 1L contained: Glucose 10g, MnSO₄ 0.01g, KH₂PO₄ 0.5g, FeSO₄ 0.01g, K₂HPO₄ 0.5g, Yeast extract 1g, MgSO₄·7H₂O 0.2g, Peptone 0.1g, NaCl 0.01g, CaCO₃ 5g, Added distilled water to 1L, pH 7.0, the medium was sterilized at 121°C for 15 min.

C. Ethanol-acetate Medium, EAM

Ethanol-acetate Medium for 1L contained: Ethanol 20 mL, NaAc 5g, Yeast extract 1g, MgSO₄·7H₂O 0.2g, K₂HPO₄ 0.4g, (NH₄)₂SO₄ 0.5g, CaCO₃ 10g, added distilled water to 1L, the medium was sterilized at 121°C for 30 min, 20 mL ethanol was added after sterilization.

D. Fermented grains- sodium acetate Medium

fermented grains- sodium acetate Medium composition for 1L contained: sodium acetate 5g, yeast extract 1g, CaCO₃ 5g, 1:3 fermented grain extract 500mL, pH 7-7.2, added tap water to 1000mL, the medium was sterilized at 121°C for 30 min.

Screening saccharides and nitrogen-source for caproic acid-producing

Based on the culture medium A, the nitrogen source and carbon source were screened for K₂ to yield higher CA. as for nitrogen source, one of yeast extract, peptone, beef extract, Urea, ammonium chloride and ammonium sulfate at the concentration of 2.5g/L was added into culture medium A. and for carbon source, one of glucose, starch, lactose, sucrose was added. The fermentation conditions were Temperature: 32°C; period: 15 days, degree of vacuum 0.06MPa.

Drawing growth and caproic acid-producing curve

Seed liquor was inoculated into anaerobic culture bottle containing fermentation liquor medium, the condition of fermentation was 18 days, and the amount of inoculation was 5% (v/v), and the amount of culture medium solution was 50 mL, and the degree of vacuum was 0.06 MPa, every group had 3 replications, and sampling fermentation liquor once every three days for analysis.

The samples were treated according to the following procedure: 2-ethyl-butyrates were added into sample to be served as internal standards in proportion of 2% (v/v), and the mixture was with vigorous vortex mixing 10-20s, and pH of the mixture was adjusted to 3, The mixture was centrifuged at a speed of 1200 r/min for 10 min, and the supernatant and the pellets were obtained for further GC analysis and bacterial count respectively.

Single-factor test

In order to investigate the impacts of different fermentation factors at different level on the yields of caproic acid of strain K₂, the fermentation factors and levels for single-factor test listed as follows:

ethanol concentration (0, 10, 20, 30, 40, 50, 600mL/L), sodium acetate concentration (0, 5, 10, 15, 20, 25, 30g/L), glucose concentration(0, 0.25, 0.5, 0.75, 0.1, 1.25, 1.5 g/L), yeast extract concentration(0, 1.5, 2.5, 3.5, 4.5, 5.5, 6.5g/L), KCl concentration(0, 1, 2, 3, 4, 5, 6g/L), inoculating amount(2.5, 5, 7.5, 10, 12.5, 15g/L), fermentation temperature(28, 30, 32, 34, 36, 38, 40°C), loading amount(45%, 90%), and CaCO₃ concentration (0, 2.5, 5, 7.5, 10, 12.5, 15g/L), other fermentation conditions except for the factor investigated were described in item1.2.3 and 1.2.4.

Orthogonal test

In order to obtain a higher caproic acid production, the glucose, ethanol, sodium acetate, fermentation temperature, initial PH value and the KCl concentration and three levels were selected respectively on the basis of single-factor test, and the L18 (3⁶) orthogonal test table was designed to optimize of fermentation conditions for K₂ achieving higher caproic acid yields(table 1 and 2).

Tab 1 the factors and levels

levels	factors					
	A temperature (°C)	B Ethanol concentration (mL/L,V/V)	C Sodium acetate Concentration (g/L,W/V)	D Initial PH value	E Glucose concentration (g/L,W/V)	F KCl concentration (g/L,W/V)
1	30	7.5	10	7	0.25	1.5
2	32	10	15	8	0.5	2
3	34	12.5	20	9	1	2.5

Tab 2 the L18 (3⁶) standards orthogonal test array

Experiment No	Factor A	Factor B	Factor C	Factor D	Factor E	Factor F
1	2	2	1	2	2	3
2	1	3	3	2	2	2
3	3	1	1	2	3	3
4	3	1	3	2	1	2
5	2	1	2	3	3	2
6	1	2	1	3	3	2
7	1	1	2	1	2	3
8	2	1	3	3	2	1
9	1	2	3	3	1	3
10	3	3	2	3	1	3
11	2	3	1	1	1	2
12	2	2	2	2	1	1
13	3	2	3	1	3	1
14	3	3	1	3	2	1
15	1	1		1	1	1
16	3	2	2	1	2	2
17	1	3	2	2	3	1
18	2	3	3	1	3	3

Verification test for the optimized fermentation conditions

In present study, verifying experiments were carried out to verify the accuracy of conditions optimized by orthogonal test , each group of three repeats.

Tab 3 Parameter in verifying experiments

Factors& group	Glucose (g/L)	KCl (g/L)	Yeast extract (g/L)	Sodium acetate (g/L)	Ethanol (mL/L)	CaCO ₃ (g/L)	temperature (°C)	PH	Inoculating Amount (%)	Time (day)
Experiment	0.25	2	2.5	20	20	10	34	9	6	15
Contrast	1	1.8	2.5	15	10	10	32	7	6	15

The GC--analysis and CA-producing bacteria count in the CA fermentation liquid

The methods for GC analysis and bacteria count in present study referred to the analytical procedures previously described by XUE(Xue,2014).

Data analysis

The software package spss19, Sigmaplot12.5 and excel was used for data analysis in this study

RESULTS AND DISCUSSION

Effects of four types of cultures on the CA yield

The CA concentration of 15-day fermentation liquid based on A, B, C, D fermentation medium were detected by gas chromatography, the results were shown in Figure 1.

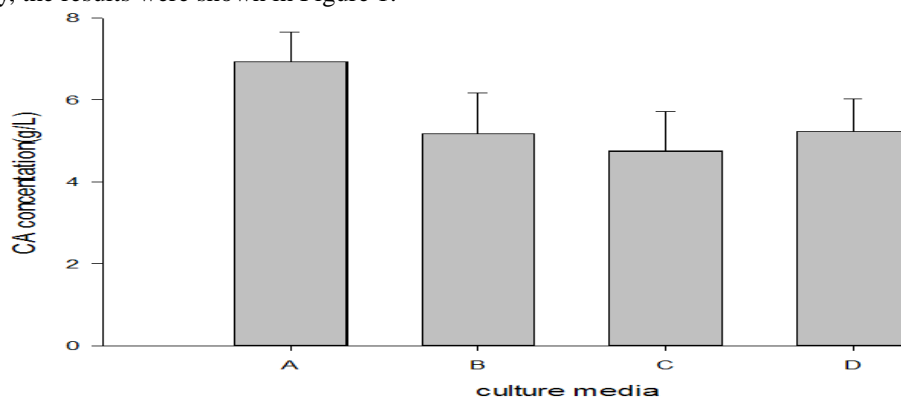
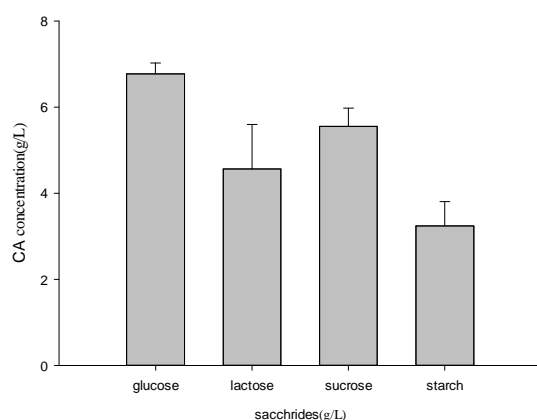


Fig 1 the comparison of CA outputs of strain K₂ in different fermentation media

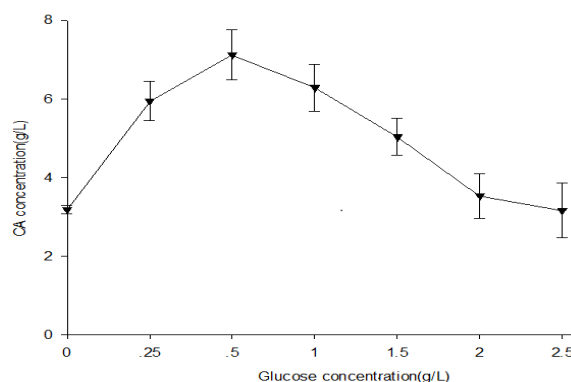
It is observed from fig1 that highest output of caproic acid for strain K₂ achieved in the medium A , and the lowest output appeared in medium B, the highest output in medium A reached 6.97 ± 0.72 (g/L), which showed significant differences contrast with those of B,C, and D ($P=0.003, 0.005, 0.046 < 0.05$). Therefore, the medium A was utilized for subsequent experiments.

Effects of carbon sources on CA yield

The output of caproic acid detected by GC in 15-day fermentation liquid, which contained different types and concentrations saccharides , showed in fig 1.



A effects of saccharides on the output of CA



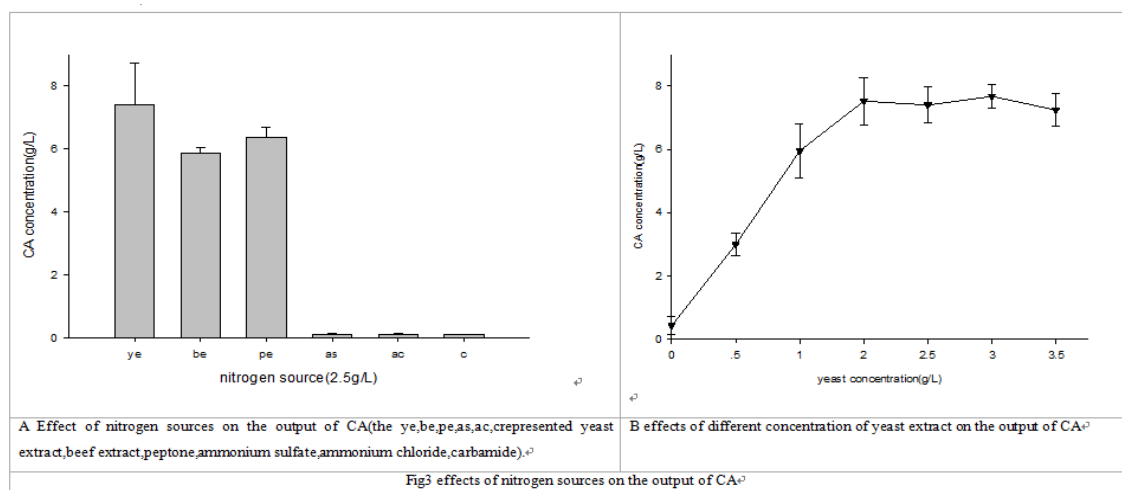
B The effects of different concentrations of glucose on the output of CA

Fig2 the effects of saccharides on the output of CA

It was demonstrated in fig 2A that the highest yield of caproic acid appeared in fermentation culture medium containing glucose, the results in fig 2B showed that the glucose concentration, which produced highest yield of caproic acid 7.34 ± 0.15 (g/L), was 0.5% (W/V).

Effects of nitrogen source on CA production

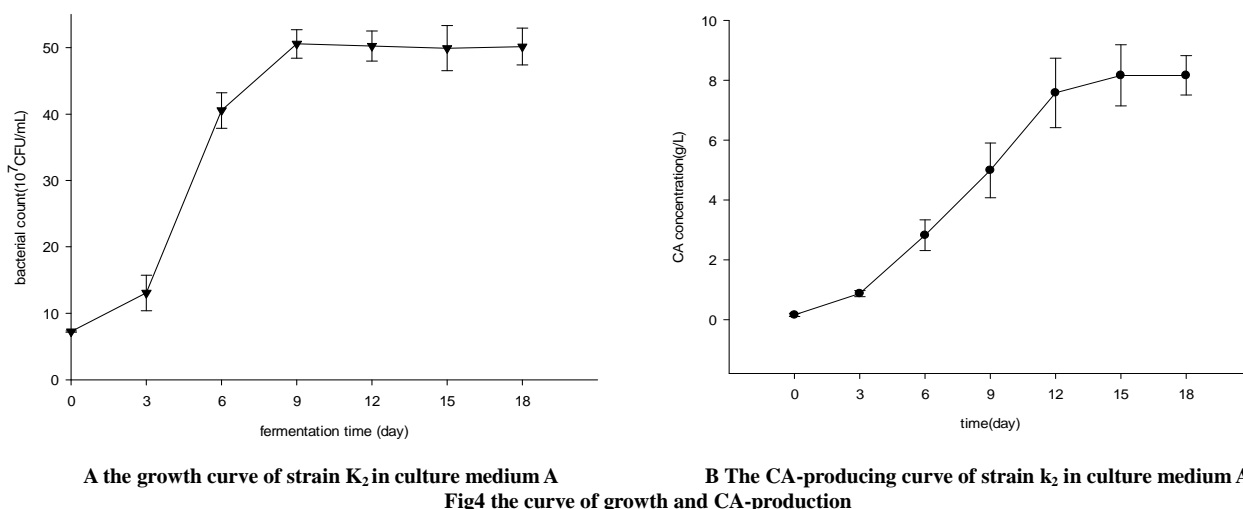
The GC analysis results of outputs of caproic acid in 15 day fermentation liquid, which contained different nitrogen source, listed in fig 2.



The yields of caproic acid in fig3A demonstrated that the medium containing yeast extract produced highest output of caproic acid of 7.33 ± 0.47 (g/L), which was significant higher than those of media containing peptone, beef extract, ammonium sulfate, ammonium chloride and urea. The concentration of yeast extract 2(g/L) was to be suitable one for K_2 to producing CA.

The curve of growth and CA production

The fig. 4 showed the growth and caproic acid-producing curves based on the caproic acid concentration and bacterial amount.



The growth curves in fig 4A showed that the lag phase was in 0-3 day, logarithmic phase was in 4-9 day, the bacterial count reached the peak of $5.06 \pm 0.34 (10^8 \text{ CFU/mL})$ on the 6th day, and stationary phase was in 9-18 day; the fig4B indicated that the caproic acid was produced rapidly for strain K_2 in 6-12 day, and CA peak yield 8.017 ± 0.52 (g/L) reached on the 15th day.

Effects of different ethanol concentration on CA yield

The results of caproic acid concentration detected in 15-day fermentation liquid containing different ethanol concentration, showed in fig5

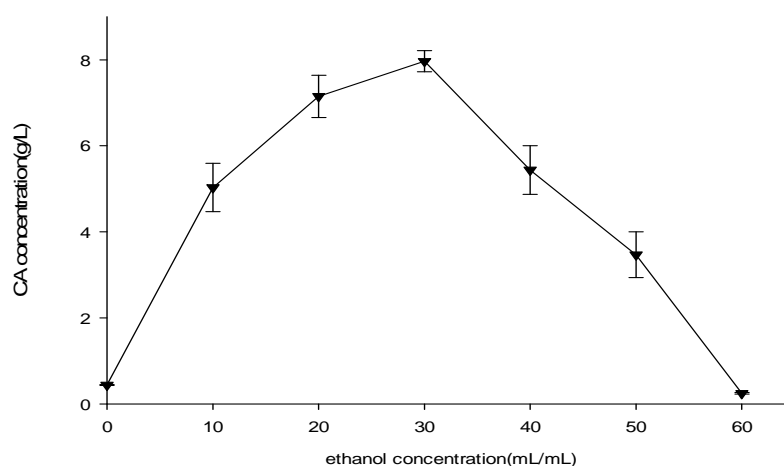


Fig5 Effect of different levels of ethanol on the output of CA

It was observed in fig 5 that the production of caproic acid increased in the range of ethanol concentration 0-30g/L, the peak yield of caproic acid reached 7.86 ± 0.87 (g/L) at the ethanol concentration of 30g/L, When the ethanol concentration was greater than 30g/L, caproic acid production declined sharply with the increase of ethanol concentration.

The effects of different sodium acetate concentration on CA outputs

The results of yields of caproic acid detected by GC in the 15-day fermentation liquid, which composed of different sodium acetate concentration, were illustrated in fig6

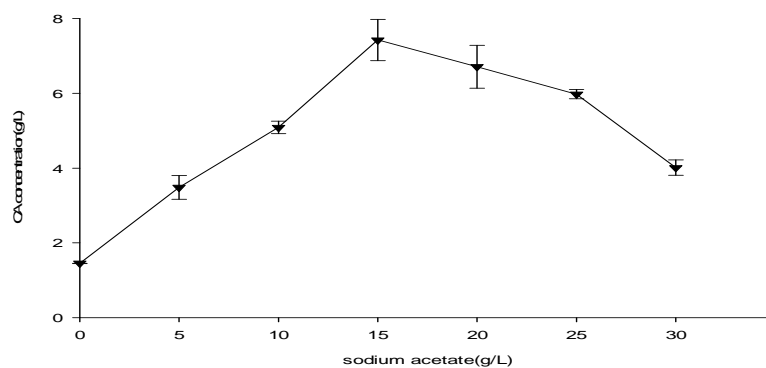


Fig6 effect of different sodium acetate concentration on the CA output of strain K₂

It was indicated in fig 6 that the yields of caproic acid increased gradually in the range of sodium acetate concentration 0-15g/L, as the sodium acetate concentration increased up to 15 g/L, the peak output of caproic acid 7.23 ± 0.11 g/L was reached on the ethanol concentration of 15 g/L, When sodium acetate concentrations were greater than 15g / L, the output of caproic acid decreased with the increase of sodium acetate concentration, so the optimal concentration of sodium acetate for K₂ producing high-yielding CA was 15 g/L.

Effects of fermentation temperature on K₂ producing CA

The results of the output of caproic acid in 15-day fermentation liquid by GC-analysis were shown in fig 7.

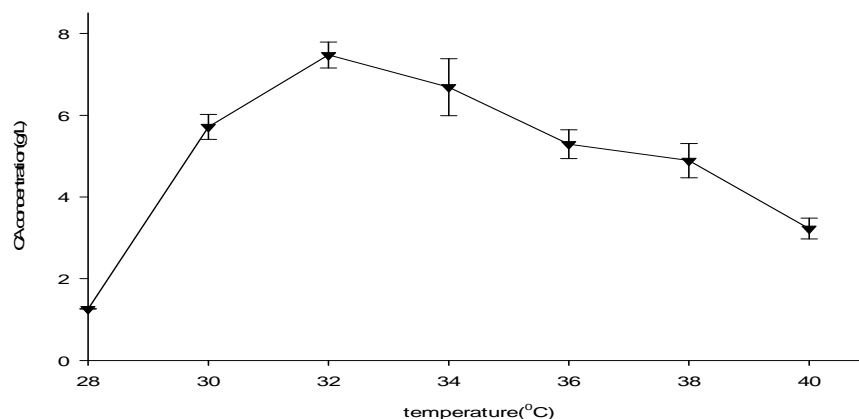


Fig7 Effects of different temperature on the output of CA

The CA-producing curve in fig7 demonstrated that the output of caproic acid increased with the fermentation temperature increase in the range of 28°C to 32°C, the peak yield of caproic acid, which was 7.47 ± 0.45 g/L, reached at the temperature 32°C, so the best temperature for CA yield was 32°C.

Effects of initial PH on the CA outputs

The results of detection of 15-day fermented liquid, which was fermented in the conditions set above, were illuminated in fig 8.

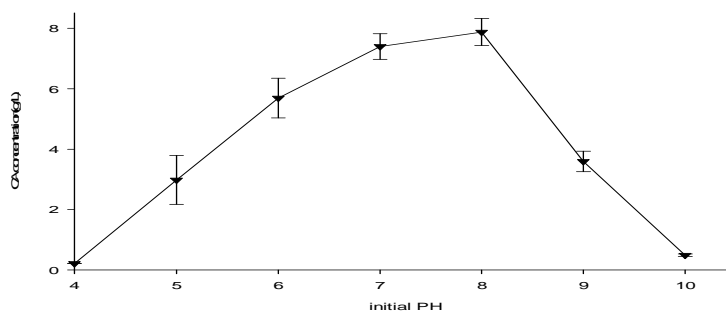


Fig8 effect of different initial PH on the output of CA

As shown in fig 8, the concentration of caproic acid in the fermented liquid was rather low at PH 4, and the output of caproic acid increased with the increase of initial PH in the fermentation liquid in the range of 4-8, and reached the maximum CA yield 7.18 ± 0.45 g/L at PH 8.

Effects of O₂ on the outputs of CA

The results of GC-analysis on the 15-day fermented liquid in different loading capacity were presented in fig 9.

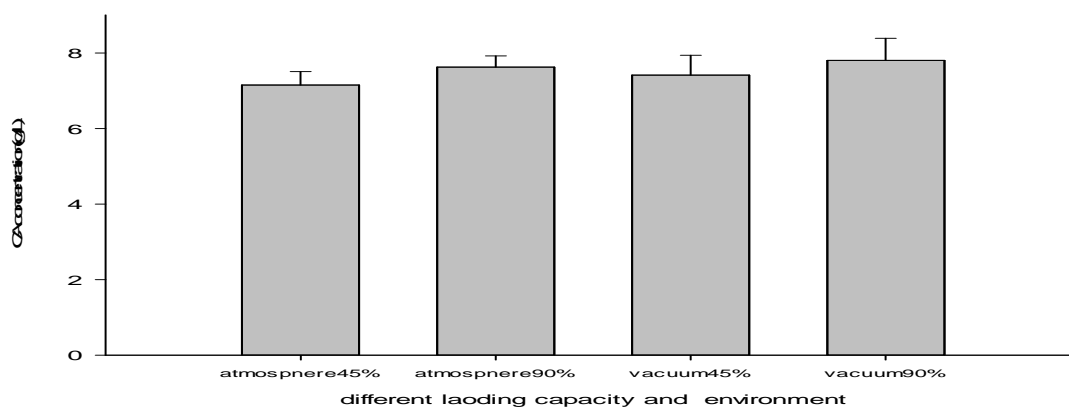


Fig 9 Effect of O₂ on the yield of CA

There were no significant differences ($P=0.088, 0.297>0.05$) in statics by the comparison of the output of caproic acid in 45% loading capacity with that of in 90% loading capacity in stillness, no matter whether the fermentation processes in atmosphere or in vacuum, all the experimental evidences indicated that the metabolic types of strain K_2 can bear trace oxygen environment, so the strain is facultative anaerobe bacterium.

Effects of CaCO_3 concentration on the outputs of CA

The results of GC-analysis of 15-day fermented liquid in different CaCO_3 concentration were shown in fig 10.

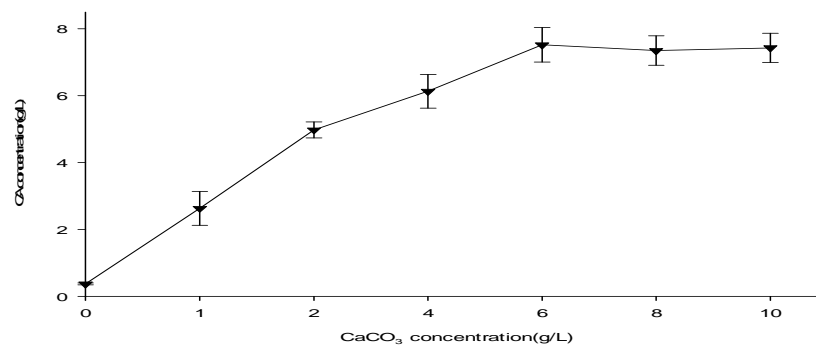


Fig 10 Effect of different concentration of CaCO_3 on the output of CA

The results in fig 10 were shown that the output of caproic acid increased with the CaCO_3 concentration in the range of 0~5 g/L, and the output of caproic acid kept almost remain unchanged when CaCO_3 concentration ≥ 5 g/L, the data of in fig9 indicated that the CaCO_3 concentration of 5g/L was the best concentration for strain K_2 to produce high-yield CA

Effects of inoculating amount on the output of CA

The results of GC-analysis of 15-day fermented liquid in different inoculating amount were shown in fig 9.

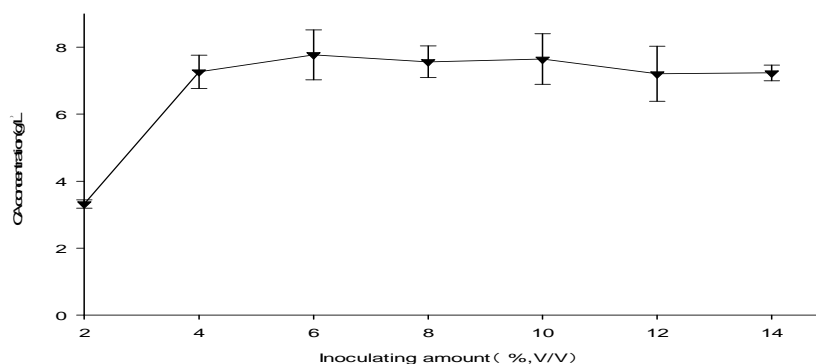


Fig11 Effect of different inoculating amount on the output of CA.

It was observed in fig 11 that the output of caproic acid increased with the inoculating amount in the range of 2~6% (V/V), and the output of caproic acid kept slight fluctuation when inoculating amount $>6\%$, those indicated that the inoculating amount of 6% was the effective concentration for strain K_2 to produce CA.

Effects of KCl concentration on the yields of CA

The GC-analysis results of 15-day CA yields of different levels of KCl concentration were shown in fig 12.

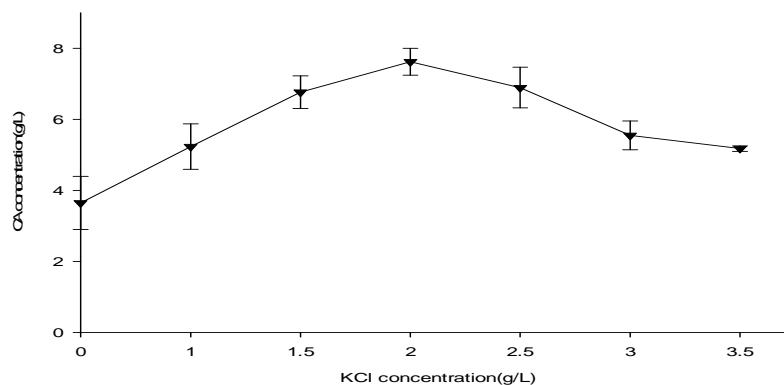


Fig12 Effect of different KCl concentration on the output of CA

It was observed in fig 12 that the CA yields increased with the increase of KCl concentration in the range of 0-2g/L, the peak yield of CA 7.65 ± 0.072 g/L reached in the KCl concentration 2g/L, and the CA yields decreased with the increase of KCl concentration when the KCl concentration was higher than the 2g/L.

Orthogonal Test

The results of GC and one-way ANOVA analysis of yields of 15-day fermented liquid of orthogonal test was shown in tab 3 .

Tab 3. The outputs of CA in orthogonal test

Test NO ^o	A-temperatur (°C) ^o	B-glucose (g/L) ^o	C-ethanol (mL/L) ^o	D-sodium acetate (g/L) ^o	E-PH ^o	F-KCl ^o (g/L) ^o	Yield of CA1 ^o (g/L) ^o	Yield of CA2 ^o (g/L) ^o	Yield of CA3 ^o (g/L) ^o
1 ^o	2 ^o	2 ^o	1 ^o	2 ^o	2 ^o	3 ^o	8.238 ^o	9.08 ^o	9.148 ^o
2 ^o	1 ^o	3 ^o	3 ^o	2 ^o	2 ^o	2 ^o	7.631 ^o	7.532 ^o	6.77 ^o
3 ^o	3 ^o	1 ^o	1 ^o	2 ^o	3 ^o	3 ^o	8.945 ^o	8.887 ^o	8.91 ^o
4 ^o	3 ^o	1 ^o	3 ^o	2 ^o	1 ^o	2 ^o	9.258 ^o	9.328 ^o	7.723 ^o
5 ^o	2 ^o	1 ^o	2 ^o	3 ^o	3 ^o	2 ^o	10.33 ^o	10.298 ^o	10.416 ^o
6 ^o	1 ^o	2 ^o	1 ^o	3 ^o	3 ^o	2 ^o	7.381 ^o	7.384 ^o	8.683 ^o
7 ^o	1 ^o	1 ^o	2 ^o	1 ^o	2 ^o	3 ^o	8.102 ^o	7.287 ^o	7.32 ^o
8 ^o	2 ^o	1 ^o	3 ^o	3 ^o	2 ^o	1 ^o	6.592 ^o	6.973 ^o	7.834 ^o
9 ^o	1 ^o	2 ^o	3 ^o	3 ^o	1 ^o	3 ^o	7.653 ^o	7.736 ^o	8.509 ^o
10 ^o	3 ^o	3 ^o	2 ^o	3 ^o	1 ^o	3 ^o	9.422 ^o	9.637 ^o	8.108 ^o
11 ^o	2 ^o	3 ^o	1 ^o	1 ^o	1 ^o	2 ^o	5.924 ^o	6.983 ^o	5.991 ^o
12 ^o	2 ^o	2 ^o	2 ^o	2 ^o	1 ^o	1 ^o	8.662 ^o	8.661 ^o	8.635 ^o
13 ^o	3 ^o	2 ^o	3 ^o	1 ^o	3 ^o	1 ^o	7.708 ^o	7.6901 ^o	7.2901 ^o
14 ^o	3 ^o	3 ^o	1 ^o	3 ^o	2 ^o	1 ^o	9.616 ^o	9.241 ^o	9.224 ^o
15 ^o	1 ^o	1 ^o	1 ^o	1 ^o	1 ^o	1 ^o	5.854 ^o	5.823 ^o	6.945 ^o
16 ^o	3 ^o	2 ^o	2 ^o	1 ^o	2 ^o	1 ^o	7.446 ^o	7.442 ^o	7.044 ^o
17 ^o	1 ^o	3 ^o	3 ^o	2 ^o	3 ^o	2 ^o	7.698 ^o	7.736 ^o	8.104 ^o
18 ^o	2 ^o	3 ^o	3 ^o	1 ^o	3 ^o	3 ^o	7.713 ^o	7.698 ^o	6.907 ^o

The analytical results by Univariate General Linear Models in spss for were shown in table 4. By comparing the Type III sum of squares in table 4, the conclusion can be drawn that the relatively important effect of temperature, glucose, ethanol, sodium acetate, PH values, KCl on the yields of CA were sodium acetate concentration > temperature > ethanol > PH values > KCl > glucose.

Dependent factor : CA ^o					
source ^o	Type III Sum of squares ^o	df ^o	Mean square ^o	F ^o	Sig. ^o
Corrected model ^o	46.562 ^o	12 ^o	3.880 ^o	7.482 ^o	.000 ^o
intercept ^o	3474.428 ^o	1 ^o	3474.428 ^o	6699.972 ^o	.000 ^o
Temperature ^o	10.162 ^o	2 ^o	5.081 ^o	9.798 ^o	.000 ^o
glucose ^o	.942 ^o	2 ^o	.471 ^o	.909 ^o	.411 ^o
ethanol ^o	5.669 ^o	2 ^o	2.834 ^o	5.466 ^o	.008 ^o
Sodium acetate ^o	20.507 ^o	2 ^o	10.253 ^o	19.772 ^o	.000 ^o
PH ^o	1.696 ^o	2 ^o	.848 ^o	1.635 ^o	.207 ^o
KCl ^o	2.533 ^o	2 ^o	1.266 ^o	2.442 ^o	.100 ^o
error ^o	21.262 ^o	41 ^o	.519 ^o		
total ^o	3542.251 ^o	54 ^o			
corrected total ^o	67.823 ^o	53 ^o			

a. R-square = .957 (adjust R-square = .875)

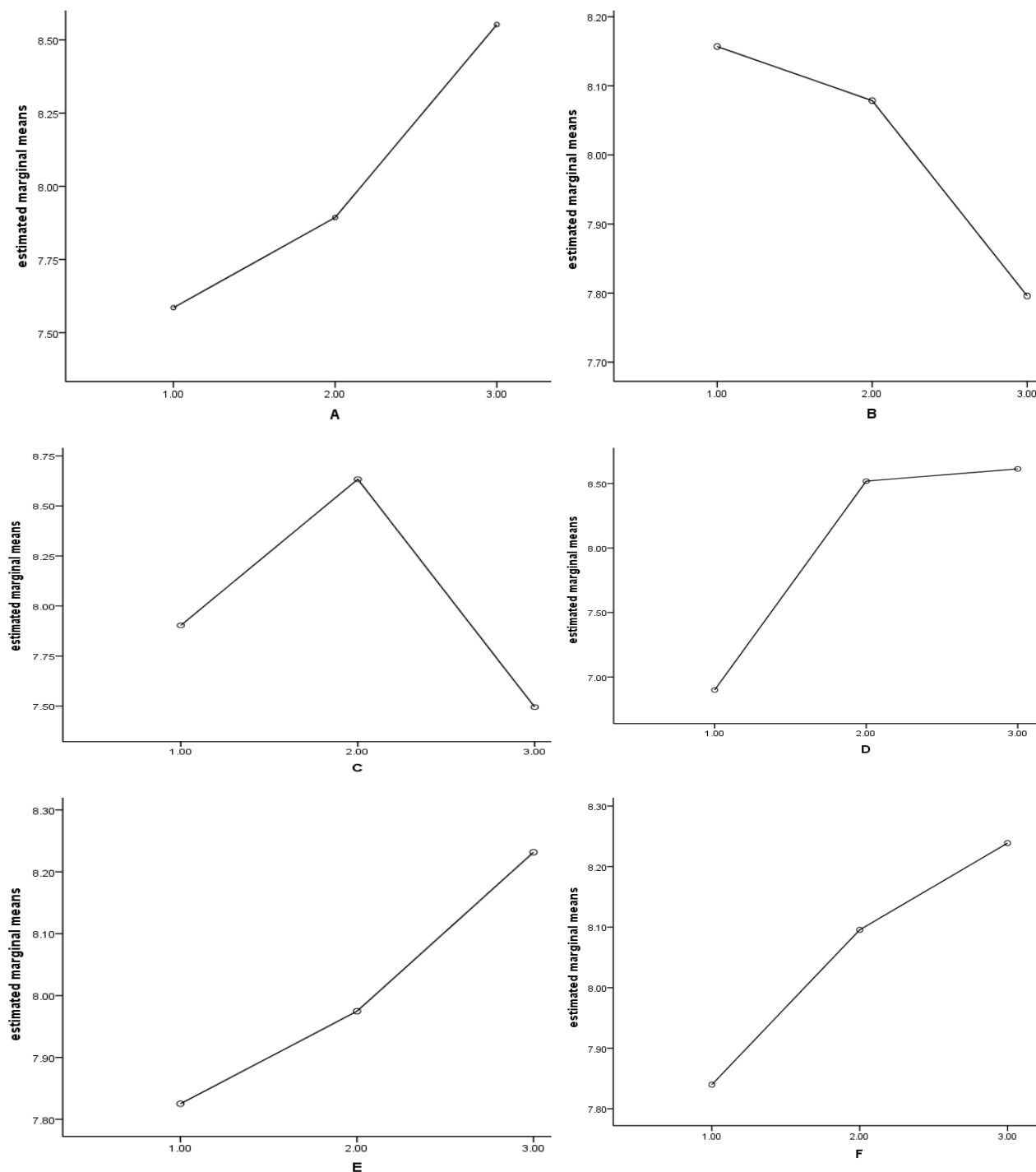
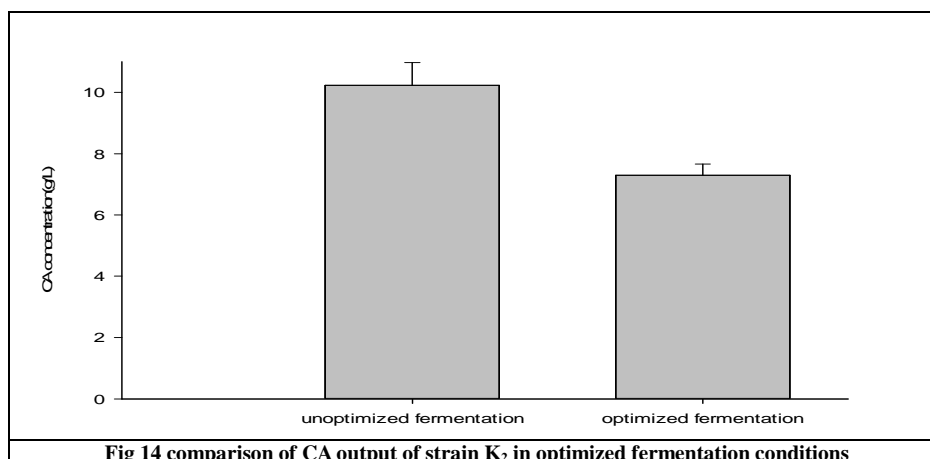


Fig13 Effects of temperature(A), glucose concentration(B), ethanol concentration(C), sodium acetate concentration(D), pH value(E), and KCl (F)concentration on yield of CA

Analysis of the estimated marginal means of CA yield(in fig13) of different factor on different levels that the best optimizations of fermentation conditions was $A_3B_1C_2D_3E_3F_3$, which indicated that temperature 34°C , PH value 9, glucose concentration 0.25g/L , ethanol concentration 10mL/L , sodium acetate concentration 20g/L , KCl 2g/L .

2.15 Verification of the optimized fermentation conditions

The CA output of 15-day fermented liquid in optimized and unoptimized fermentation conditions were shown in fig 14.



It was indicated in fig 14 that the CA yield in optimized fermentation condition was $10.23 \pm 0.74 \text{ g/L}$, which was higher 40.1% than that of unoptimization fermentation medium ($7.30 \pm 0.359 \text{ g/L}$). The comparison by paired-t test in SPSS showed that the CA yield in the two mentioned conditions was significant difference ($P=0.044 < 0.05$).

CONCLUSION

1. In present study, the best culture medium for the CA production of strain k_2 was McCLary culture among the four candidate fermentation media in CA outputs.
2. The sacharides and nitrogen sources were glucose and yeast extracts respectively in the McCLary culture based on the yield of CA.
3. The growth and CA production curves were obtained for strain K_2 , the Logarithmic growth phase was on the third and sixth day, and the rapid CA producing period was on the sixth and twelfth day, and the peak production of CA was on the fifteenth day.

At last, the single-factor test and orthogonal test were utilized to optimize the fermentation conditions (factor) for higher CA output, the relative important of fermentation conditions were revealed as follows: sodium acetate > temperature > ethanol > PH value > KCl > glucose, the most optimized fermentation conditions was temperature 34°C , pH value 9, glucose concentration 0.25 g/L , ethanol concentration 10 mL/L , sodium acetate concentration 20 g/L , KCl concentration 2 g/L , and the fermentation period was 15 days.

Acknowledgements

This research was financially supported by the science and technology support plan project of Sichuan Province (No.2014FZ0018), the Social development project of Luzhou Municipal Science and Technology Bureau (NO.2013-S-44(4/8)), Key Fund Project of Sichuan Provincial Department of Education.

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